

Audit Committee – Action Plan

Agreed Action	Person Responsible	Target Date	Outcome / Progress
Briefing papers occasionally for Programme Centre : ~ Risk Management ~ Key projects and support areas	-	-	Keep on work plan as reminder
Following activities to be scheduled on work plan for 2012: <ul style="list-style-type: none"> <li>■ Register of Interests</li> <li>■ Gifts and Hospitality</li> <li>■ Regulation of Investigatory Powers Act (RIPA)</li> <li>■ Compromise Agreements</li> <li>■ Complaints</li> </ul>			
Completed Actions			

**Audit Committee – Work Plan**

**Appendix B**

<b>19<sup>th</sup> December 2011</b>	<b>30th January 2012</b>	<b>19<sup>th</sup> March 2012</b>	<b>23<sup>rd</sup> April 2012</b>	<b>11<sup>th</sup> June 2012</b>
1. Adult Social Care – update by Executive Directors	1. Strategic Risk Register	1. Progress on the Counter Fraud Plan 2011/12	1. Review of Governance Arrangements and development of Annual Governance Statement	1. Head of Audit Annual Report 2011/12
2. Risk Appetite and update of Strategic Risk Register	2. Revised Risk Management Strategy	2. Internal Audit progress report against 2011/12 plan	2. Register of Interests & Gifts and Hospitality	2. Counter Fraud Annual Report 2011/12
3. Business Continuity Management - update	3. Outcome of 'Peer Challenge'	3. Analysis of outstanding high recommendations	3. Effectiveness of Councils Complaint process	3. Risk Management Annual Report 2011/12
4. External Audit – Annual Audit Letter & Progress report	4. External Audit – Opinion Plans for 2012 (LCC and Pension Accounts)	4. Internal Audit Annual Plan 2012/13	4. Strategic risk management annual work plan 2012/13	4. Annual Governance statement 2012
5. Closure of Accounts – Lessons Learned and action plan	5. Councils Assurance Arrangements - 'Assurance Map' for the Council	5. Counter Fraud Annual work plan 2012/13	5. Review of effectiveness of system of Internal Audit	5. Draft Financial Statements 2011/12
6. Internal Audit progress report against 2011/12 plan	6. Future of Public Audit	6. External Audit progress Report	6. Internal Audit progress report against 2011/12 plan	6. External Audit progress Report
7. Annual Governance Statement 2011 – update on action plan	7. Audit Committee Annual Report - Draft	7. Audit Committee work plan		
8. Progress on the Counter Fraud Plan 2011/12		8. Wolds College - update		

**Audit Committee – Work Plan****Appendix B**

<b>19<sup>th</sup> December 2011</b>	<b>30th January 2012</b>	<b>19<sup>th</sup> March 2012</b>	<b>23<sup>rd</sup> April 2012</b>	<b>11<sup>th</sup> June 2012</b>
9. Work Plan				

Future Meeting dates:

9<sup>th</sup> July 2012

24<sup>th</sup> September 2012

12<sup>th</sup> November 2012

17<sup>th</sup> December 2012